TRADEHALL
A Strong Pillar for Your Wealth

RISK
DISCLOSURE NOTICES

www.tradehall.co

RISK DISCLOSURE NOTICE

1. SCOPE OF THIS NOTICE

1.1 Tradehall Pty Ltd ("Tradehall", "Company", "We", "Us" and "Our".) provides you with this "Risk Disclosure Notice" ("Notice") to help you understand the risks that may arise when buying and selling Contracts for Difference ("CFD"). This notice is not exhaustive. You need to remember that this notice does not contain all the risks and aspects of trading CFDs, nor does it contain any relationship with your personal circumstances. Before deciding to open an account and conduct transactions with us, you should carefully read this notice and our terms of service, order execution policy and other documents and information available to you through our website based on your personal circumstances. If you are unsure, we recommend that you seek independent advice.

2. APPROPRIATENESS ASSESSMENT

2.1 In the process of account opening, we will assess whether you are suitable for trading CFDs and determine whether you have enough knowledge or experience to understand the risks of trading CFDs based on the information you have provided.

2.2 We will notify you of the evaluation results, but this does not exempt you from having to carefully consider whether trading CFDs is suitable for your needs. If we warn you that trading CFDs is not suitable for you, then you should avoid trading CFDs until you have gained sufficient knowledge and experience and are familiar with the relevant trading risks. For example, you can trade CFDs on a demo account to build up your knowledge and experience, and then trade CFDs in a real-time environment.

3. NATURE OF CFDS

3.1 CFDs are over-the-counter (“OTC”), also known as “over-the-counter” derivatives. Although some over-the-counter markets are highly liquid, trading in over-the-counter or “non-transferable” derivatives may be more risky than investing in on-exchange derivatives.There is no exchange market that can close open positions. It may not be possible to liquidate existing positions, evaluate the value of positions generated by OTC derivatives transactions, or evaluate risk exposures. The bid and ask prices do not need to be quoted, even if they are quotes, they will be determined by the dealer in these tools. Therefore, it may be difficult to determine a reasonable price.

3.2 The value of a CFD comes from the value of the underlying asset, for example, the value of a specific currency against another, the price of a stock, the value of a market index or the value of a specific commodity.

3.3 We offer many different types of CFDs, including indices, shares, commodities, forex, ETFs and Crypto. For a complete list of the CFDs we offer, kindly login to MetaTrader5 under Tradehall server.

3.4 CFDs can be traded in multiple currencies, so you should check the CFD instructions in the platform before trading.

3.5 When you trade CFDs, you will hold a position on changes in the value of the underlying assets over time. In other words, you are guessing whether the value of the underlying asset will rise or fall in the future compared to the issuance (or execution) of the contract. ***You do not own the underlying assets related to a specific CFD, nor do you have any rights.***

3.6 The amount of your profit or loss will be the difference between the price when you opened the contract and the price when you closed the position when you trade CFDs (adjusted to reflect holding costs, where applicable). If the value of the CFD is beneficial to you, we will pay to your trading account. If it works against you, we will deduct funds from your trading account.

3.7 While you have open contracts, you may also attract swap fees after each rollover (5 pm New York time). The fees you incur depend on the underlying assets you trade and may change. We will explain our fees and other expenses in more detail on our website.

4. PRICES AND COSTS

4.1 The prices of CFDs that you trade with us include a mark-up; this means that the spreads offered by us comprise of (i) the raw spreads received from liquidity/ price provider(s) and (ii) a mark-up (where applicable).

4.2 Our commission fees are not listed on our website. If you have any questions about the fees, kindly contact our support team via email: support@tradehall.co or contact your Account Manager.

4.3 We make the cost as transparent as possible. OTC derivatives are traded at market prices that the company obtains from third-party liquidity/price providers. The cost of these transactions will be shown on your contract notes and statement, respectively. You need to charge as a percentage or basis point of the total position size of the transaction, and your fees have nothing to do with the deposit or margin you use. We will charge you for each transaction.

4.4 The minimum fee may be related to the smaller transaction size, as well as the fees associated with the overnight financing of the position-we will provide you with all fees in writing before you open an account.

4.5 The transaction price of margin foreign exchange products may include costs. In this case, we will stipulate the spread of the quotation based on the product you want to trade.

4.6 Tradehall is not a traditional market maker when executing customer transactions, but a “matched principal”, which means that we match all customer transactions with the counterparty Tradehall Pty Ltd. Tradehall cannot profit from any customer losses. In addition, we do not have any proprietary positions in the market, and our proposed research is completely unbiased.

4.7 The prices generated by our trading platform(s) are derived from the prices of the relevant underlying instruments.

4.8 You should not use funds obtained from any credit facility (including bank loans or other methods) to fund your trading account. It is important to note that if you do this, your overall risk will greatly increase. For example, if you lose a transaction, you still have to repay the borrowed amount plus any interest or other fees.

5. LEVERAGE AND MARGIN REQUIREMENTS

5.1 Trading CFDs allows you to use leverage to open trades by depositing a small portion of the total trade value; this means that relatively small market fluctuations may cause your trade value to increase substantially proportionally. Due to the low margin requirements, you can trade margin foreign exchange contracts and other CFDs with high leverage. Leveraged trading means that even slight changes in the market may result in greater changes in the value of your investment in a certain percentage of transactions. Kindly also note that commissions are paid in proportion to the size of your notional position.

5.2 If you are a professional client and the market is not favorable to you, then the use of leverage means that your losses may be much greater than the funds you deposited in your trading account.

5.3 You should be aware that any changes made to the leverage level on an account that has already been traded will immediately affect your open positions and may result in a stop loss.

5.4 You are responsible for monitoring the required margin for open positions, and in order to avoid a stop loss, you may have to fund your account.

6. VOLATILITY

6.1 Derivatives markets usually have high volatility (that is, their values fluctuate rapidly), so the risk of you incurring losses when trading derivatives contracts may be very high.

6.2 High volatility means that the market may be difficult to predict. This means that you should not treat any contract provided by us or any other financial service provider as a "secure" transaction.

6.3 During periods of extreme volatility, the pricing of contracts may be affected by the exhaustion of sources of pricing (liquidity). For example, this might mean:

- The market "gap" and exceeds the price you want or expect;
- The spread of the basic buying/selling price is widening (i.e. the difference between buying and selling prices is greater); and
- It may even be difficult for you to get the price of a particular contract.

6.4 We pass on any pricing re-quotes directly to you, without any bias towards the direction the pricing has moved in.

6.5 Due to the extremely high order volume and/or extremely high available liquidity, market conditions are highly volatile, making it difficult for us to execute orders at a given price. When we are able to execute the order, the bid/offer price may be reset. This may mean that some orders have been rejected at this time.

6.6 “Pending orders” may also occur during periods of high trading volume. A pending order refers to an order placed in the “Order” window of the platform after execution. Usually, the order has been executed, but confirmation will take some time. During periods when the transaction volume is particularly large, an order queue may be formed, and the increase in incoming orders sometimes leads to delays in the confirmation of certain orders.

6.7 Sometimes, due to volatility or increased trading volume, orders may be affected by the so-called “slippage”. This situation most often occurs during basic news events or market “gap” periods, which create conditions that make it difficult to execute orders due to extreme price fluctuations.

6.8 The execution of orders always depends on the available liquidity at all price levels. Although you may wish to execute at a certain price, the market may have moved significantly or liquidity may be exhausted. In this case, your order will be executed at the next best price or fair market value.

6.9 When you consider executing an order, kindly note that all contracts you opened at 23:59 server time will be rolled over. During the rollover period, trading may be disabled for 2 to 5 minutes, and as liquidity decreases, spreads may expand, which may cause you to suffer losses or gains. We are not responsible for any losses you suffer during the transition period.

7. STOP LOSSES ARE NOT GUARANTEED

7.1 You are responsible for monitoring your account and taking measures to limit losses. We recommend that you use a “stop loss order” to minimize risk, but kindly be aware that stop loss cannot be guaranteed. If there is insufficient liquidity, slippage, or rising or falling market gaps, your exit price may be very different from your expected stop loss price.

8. RISKS OF FOREIGN EXCHANGE

8.1 If you're trading in a product that is denominated in a currency other than the currency of your trading account, you'll be impacted by foreign exchange movements. Kindly refer to our Terms and Conditions of service for more information on how we treat different currencies.

9. RISKS OF SYSTEM

9.1 We operate an online platform in an environment (Internet) that can't be guaranteed by nature. This means that due to your network, system or network problems, you may encounter problems when placing orders or executing contracts. Since we are unable to guarantee that the Internet will operate normally, we are not responsible for the risks associated with the operation of the platform. Therefore, you need to pay attention to the inherent platform risks in every contract you trade with us.

9.2 For example, a technical problem with the Internet connection between you and our server may cause delays in placing orders and executing contracts. Interference in the connection path sometimes interrupts the signal and disables the platform, causing delays in data transmission between the platform and our servers.

9.3 The interruption of our operation process such as communication, computer, computer network, software or external events may also cause delays in the execution and settlement of your contract, which means that you may not be able to trade the specific contract we provide, and you may result in financial loss or loss of opportunity.

9.4 If you experience an interruption in our trading platform, then you must contact our support team as soon as possible to open/close your position.

10. CLIENT MONEY

10.1 If you are classified as a retail customer, then any funds we hold on your behalf will be deposited in one or more separate accounts of institutions and separated from our own funds.

10.2 In this account, your customer funds will not be stored separately from other customers' funds, so even if we or the bank goes bankrupt, you will not file a claim for a specific amount in a specific account. Instead, your claim may be for client money held in our segregated account.

10.3 Generally, accounts held in institutions face a variety of risks, including the potential risk of being treated as one (1) account if the institution defaults. In this case, the National Deposit Guarantee Scheme can be implemented without having to consider the ultimate beneficial owner of the bank account. Another risk is that if we are unable to meet the bank's obligations to other customers, the funds in the bank account may assume our obligations. If the bank we used to deposit customer funds goes bankrupt, and you have not compensated us in this case, we will not be liable to you.

11. NO ADVICE

11.1 We only provide you with our products and services on the basis of execution, which means that you take full responsibility for any decisions related to our products and services.

11.2 We are not a financial advisor, nor do we provide any regulatory, tax or legal advice. Sometimes, we will provide you with general factual information about the market and how our various products and services work. Any information and analysis that we provide to you is general in nature and does not take into account the personal goals, financial situation or needs of you or your customers. You should not rely on any information we provide to you investment advice or an offer to conduct transactions.

11.3 Tax benefits may change, depending on your personal circumstances. If you are not sure about any of these matters, we recommend that you seek expert advice.

12. **CORPORATE ACTION

12.1 If your contract is the subject of a corporate action such as rights issues, takeovers, mergers, we'll decide what adjustment, if any, will be made to your contract or your order to: (a) preserve the economic equivalent of the rights and obligations of you and us in relation to the contract immediately before the corporate action took place; and/or (b) replicate the same effect of the corporate action on your contract that it would have on someone with an interest in the relevant underlying asset. This may include closing-out a contract or opening a new contract.

13. REGULATORY AND LEGAL RISKS

13.1 Taxation and other changes in laws, government, fiscal, currency and regulatory policies may have a material adverse effect on your OTC derivatives transactions. Whenever changes in the law affect the way you interact with us, we will try our best to inform you.

14. PAST PERFORMANCE

14.1 Past performance, simulations or predictions of CFDs do not represent future results. You should note that since the market price of the underlying asset may fluctuate up and down, the value of your investment may decrease (or increase).

Get in Touch
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